

## Section 1: 8-K/A (8-K/A)

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, DC 20549

FORM 8-K/A  
(Amendment No. 1)

CURRENT REPORT PURSUANT TO  
SECTION 13 OR 15(d) OF THE  
SECURITIES EXCHANGE ACT OF 1934

Date of earliest event reported: May 2, 2019

# SMARTFINANCIAL, INC.

(Exact Name of Registrant as Specified in its Charter)

Tennessee

333-203449

62-1173944

(State or Other Jurisdiction of Incorporation)

(Commission File Number)

(IRS Employer Identification No.)

5401 Kingston Pike, Suite 600

Knoxville, Tennessee

(Address of Principal Executive Offices)

37919

(Zip Code)

(865-453-2650)

(Registrant's telephone number, including area code)

N/A

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Securities registered pursuant to Section 12(b) of the Act:

Title of Each Class	Trading Symbol(s)	Name of Each Exchange on Which Registered
Common Stock, par value \$1.00 per share	SMBK	The Nasdaq Stock Market LLC

## Explanatory Note

This Current Report on Form 8-K/A (Amendment No. 1) (this “**Amendment**”) is being filed by SmartFinancial, Inc. (the “**Company**”) to amend the Current Report on Form 8-K filed by the Company on May 7, 2019 (the “**Original Form 8-K**”). The Company is filing this Amendment solely for the purpose of disclosing the Company’s decision with respect to the frequency of future non-binding shareholder advisory votes on named executive officer compensation. This Amendment does not amend, modify, or supplement the Original Form 8-K in any other respect.

### **Item 5.07 Submission of Matters to a Vote of Security Holders.**

(d) As previously reported in the Original Form 8-K, at the 2019 annual meeting of Company shareholders held on May 2, 2019 (the “**Annual Meeting**”), the Company’s shareholders voted, on a non-binding, advisory basis, on the frequency with which Company shareholders should have future non-binding, advisory votes on the compensation of the Company’s named executive officers. A majority of the shares voted on this proposal at the Annual Meeting voted in favor of shareholders having a non-binding, advisory vote on named executive officer compensation every three years, which was consistent with the recommendation of the Company’s board of directors in the proxy statement for the Annual Meeting. In light of this shareholder vote and in accordance with its recommendation in the proxy statement for the Annual Meeting, the Company’s board of directors has determined that the Company will hold a non-binding shareholder advisory vote on named executive officer compensation every three years until the next required vote on the frequency of future non-binding shareholder advisory votes on named executive officer compensation. The Company is required to hold a non-binding, advisory vote on the frequency of future shareholder advisory votes on named executive officer compensation no less frequently than every six years.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**SMARTFINANCIAL, INC.**

Date: May 29, 2019

/s/ William Y. Carroll, Jr.

William Y. Carroll, Jr.

*President and Chief Executive Officer*

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[\(Back To Top\)](#)